



UNITED STATES DEPARTMENT OF COMMERCE
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/408,112 09/29/99 WHITE LAW J 245/282

022249 0242/1020
LYON AND LYON LLP NOT ASSIGNED
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES CA 90071-2066 2767

DATE MAILED: 10/20/99

MIP du 12/20/99
NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 764.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.
Applicant must submit \$ 764.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:
\$ 164.00 for 8 total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

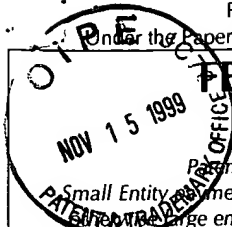
A copy of this notice MUST be returned with the reply.

M. J. K...
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.

Small Entity Filings must be supported by a small entity statement, and large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT	(\$)	1,074.	Attorney Docket Number	245/282
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. X The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
Deposit Account Number	12-2475	105	130	205	65	Surcharge-late filing fee or oath
Deposit Account Name	Lyon & Lyon LLP	127	50	227	25	Surcharge-late provisional filing fee or cover sheet
X Charge Any Additional Fees Required Under 37 CFR 1.116 and 1.17		139	130	139	130	NonEnglish specification
		147	2,520	147	2,520	For filing a request for reexamination
		112	920*	112	920*	Requesting examination of SIR prior to Examiner action
		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
2. <input type="checkbox"/> Payment Enclosed:		115	110	215	55	Extension for reply within first month
Money		116	380	216	190	Extension for reply within second month
<input type="checkbox"/> Check <input type="checkbox"/> Order <input type="checkbox"/> Other		117	870	217	435	Extension for reply within third month
FEE CALCULATION		118	1,360	218	680	Extension for reply within fourth month
1. FILING FEE		128	1,850	228	925	Extension for reply within fifth month
Large Fee Code	Entity Fee (\$)	119	300	219	150	Notice of Appeal
Small Fee Code	Entity Fee (\$)	120	300	220	150	Filing a brief in support of an appeal
Fee Description	Fee Paid	121	260	221	120	Request for oral hearing
101 760 201 380 Utility filing fee	760.	138	1,510	138	1,510	Petition to institute a public use proceeding
106 310 206 155 Design filing fee		140	110	240	55	Petition to revive unavoidable
107 480 207 240 Plant filing fee		141	1,210	241	605	Petition to revive-unintentional
108 760 208 380 Reissue filing fee		142	1,210	242	605	Utility issue fee (or reissue)
114 150 214 75 Provisional filing fee		143	430	243	215	Design issue fee
SUBTOTAL (1) (\$)		144	580	244	290	Plant issue fee
		122	130	122	130	Petitions to the Commissioner
2. CLAIMS		123	50	123	50	Petitions related to provisional applications
Total Claims	28	126	240	126	240	Submission of Information Disclosure Stmt
Indep Claims	3	581	40	581	40	Recording each patent assignment per property (times number of properties)
Multiple Independent Claims		146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))
**or number previously paid, if greater; For Reissues, see below		149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))
Large Entity Fee Code	Small Entity Fee Code	Other fee (specify) _____				
Fee Description	Fee Paid	Other fee (specify) _____				
103 18 203 9 Claims in excess of 20		*Reduced by Basic Filing Fee Paid				
102 78 202 39 Independent claims in excess of 3		SUBTOTAL (3) (\$)				
104 260 204 130 Multiple dependent claim, if not paid		170.				
109 78 209 39 **Reissue independent claims over original patent						
110 39 210 18 **Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$)						
144.						

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Michael J. Bolan	Reg. Number	42,339
Signature		Deposit Account User ID	12-2475
Date	November 10, 1999		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231